STIC Search Report
EIC 2100

STIC Database Tracking Number: 152526

TO:	From:
Merilyn Nguyen **Location: RND 3C19** **Art Unit : 2161** **Friday, May 06, 2005** **Case Serial Number: 09/933666**	**David Holloway** **Location: EIC 2100** **RND 4B19** **Phone: 2-3528** **david.holloway@uspto.gov**

Search Notes

Dear Examiner Nguyen,

Attached please find your search results for above-referenced case.
Please contact me if you have any questions or would like a re-focused search.

David

Please Expedite. Thanks Stuart Metz 5/4/5

RUSH

Access DB# 152526

SEARCH REQUEST FORM

Scientific and Technical Information Center

20

Requester's Full Name: MERILYN NGUYEN Examiner #: 79389 Date: 05-04-05
Art Unit: 2161 Phone Number 30-571-272-4036 Serial Number: 09/933666
Mail Box and Bldg/Room Location: RAN 3C19 Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.

****

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: System and Method for Evaluating Employees by Creating and Trading Shares Based on the Employees.

Inventors (please provide full names): William R. Herrel III

Earliest Priority Filing Date: 08/30/00

***For Sequence Searches Only* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.**

Evaluating performance of employees based on at least traded value of employee's shares, where in employees can only buy or sell shares of other employees. Generating an electronic personal profile for each employee.

→ Shares have no true monetary value, but the relative value of an individual's stock will be determined by the demand for his stock in the marketplace created by all employees.

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MAY 05 2005
BY:.....

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STAFF USE ONLY	Type of Search		Vendors and cost where applicable	
Searcher: David Hollum	NA Sequence (#)		STN	
Searcher Phone #: 2-3528	AA Sequence (#)		Dialog	#
Searcher Location: RND 4B19	Structure (#)		Questel/Orbit	
Date Searcher Picked Up: 5-5-05	Bibliographic		Dr.Link	
Date Completed: 5-6-05	Litigation		Lexis/Nexis	
Searcher Prep & Review Time: 60	Fulltext	✓	Sequence Systems	✓
Clerical Prep Time:	Patent Family		WWW/Internet	✓
Online Time: 140	Other		Other (specify)	

PTO-1590 (8-01)

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Set     Items   Description
S1    7671176   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
            P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2    8641047   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
            HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3   12412912   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
            OR VALUE? OR APPRAIS?
S4    7823589   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
            TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
            ALES()(MAN OR MEN OR FORCE)
S5      46278   S4    (10N)  S1    (10N)  S2    (10N)  S3
S6     195283   S4(3N)S1
S7      13111   S5 AND S6
S8       4273   S3(2N)S4 AND S5
S9     156014   S1(3N)(FANTASY OR IMITATION? OR MOCK? OR SIMILAR? OR LIKE?)
S10       867   S9 (S) S5
S11      4273   S3(2N)S4 AND S5
S12    531471   COWORKER? OR COLLEAGUE? OR (TEAM? ? OR GROUP??)()MEMBER? OR
             (OTHER OR RELATED)(N)S1
S13       426   S12(S)S7
S14        32   S13(S)S9
S15     29030   S1(3N)(SIMULAT? OR MODEL)
S16         1   S13(S)S15
S17     46262   S5(3N)S1
S18       692   S9(10N)S17
S19      5340   S1(3N)S2(5N)S3(N)S4
S20        15   S12(5N)S19
S21        48   S20 OR S14 OR S16
S22        44   RD (unique items)
S23        26   S22 NOT PY>2000
S24        23   S23 NOT PD=20000830:20030830
S25        23   S24 NOT PD=20030830:20050509
File 275:Gale Group Computer DB(TM) 1983-2005/May 06
         (c) 2005 The Gale Group
File  47:Gale Group Magazine DB(TM) 1959-2005/May 06
         (c) 2005 The Gale group
File  75:TGG Management Contents(R) 86-2005/Apr W4
         (c) 2005 The Gale Group
File 636:Gale Group Newsletter DB(TM) 1987-2005/May 06
         (c) 2005 The Gale Group
File  16:Gale Group PROMT(R) 1990-2005/May 05
         (c) 2005 The Gale Group
File 624:McGraw-Hill Publications 1985-2005/May 05
         (c) 2005 McGraw-Hill Co. Inc
File 484:Periodical Abs Plustext 1986-2005/May W1
         (c) 2005 ProQuest
File 813:PR Newswire 1987-1999/Apr 30
         (c) 1999 PR Newswire Association Inc
File 141:Readers Guide 1983-2005/Dec
         (c) 2005 The HW Wilson Co
File 553:Wilson Bus. Abs. FullText 1982-2004/Dec
         (c) 2005 The HW Wilson Co
File 674:Computer News Fulltext 1989-2005/May W1
         (c) 2005 IDG Communications
File 122:Harvard Business Review 1971-2005/Apr
         (c) 2005 Harvard Business Review
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Set     Items   Description
S1    2881327   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
            P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2    2053832   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
            HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3   11521864   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
            OR VALUE? OR APPRAIS?
S4    3477120   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
            TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
            ALES()(MAN OR MEN OR FORCE)
S5      73430   S4  AND  S1  AND  S2  AND  S3
S6      39365   S4(3N)S1
S7       8477   S5 AND S6
S8       6199   S3(2N)S4 AND S5
S9      35869   S1(3N)(FANTASY OR IMITATION? OR MOCK? OR SIMILAR? OR LIKE?)
S10         0   S11 (S) S5
S11      6199   S3(2N)S4 AND S5
S12     85408   COWORKER? OR COLLEAGUE? OR (TEAM? ? OR GROUP??)()MEMBER? OR
              (OTHER OR RELATED)(N)S1
S13      3828   S9 AND S5
S14      1596   S4(5N)S1(5N)S2(5N)S3
S15      1038   (S11 OR S6) AND S14
S16        89   S12 AND S15
S17     19017   S4(N)S3
S18     57129   S1(N)S2
S19       553   S15 AND (S17 OR S18)
S20       125   S19 AND (S12 OR S9)
S21       156   S20 OR S16
S22       154   RD (unique items)
S23        71   S22 NOT PY>2000
S24        65   S23 NOT PD=20000830:20030830
S25        65   S24 NOT PD=20030830:20050509
File   8:Ei Compendex(R) 1970-2005/Apr W4
         (c) 2005 Elsevier Eng.  Info. Inc.
File  35:Dissertation Abs Online 1861-2005/Apr
         (c) 2005 ProQuest Info&Learning
File  65:Inside Conferences 1993-2005/May W1
         (c) 2005 BLDSC all rts. reserv.
File   2:INSPEC 1969-2005/Apr W4
         (c) 2005 Institution of Electrical Engineers
File  94:JICST-EPlus 1985-2005/Mar W3
         (c)2005 Japan Science and Tech Corp(JST)
File 111:TGG Natl.Newspaper Index(SM) 1979-2005/May 04
         (c) 2005 The Gale Group
File   6:NTIS 1964-2005/Apr W4
         (c) 2005 NTIS, Intl Cpyrght All Rights Res
File 144:Pascal 1973-2005/Apr W4
         (c) 2005 INIST/CNRS
File  34:SciSearch(R) Cited Ref Sci 1990-2005/Apr W4
         (c) 2005 Inst for Sci Info
File  99:Wilson Appl. Sci & Tech Abs 1983-2005/Apr
         (c) 2005 The HW Wilson Co.
File  95:TEME-Technology & Management 1989-2005/Mar W4
         (c) 2005 FIZ TECHNIK
File  13:BAMP 2005/Apr W4
         (c) 2005  The Gale Group
File  22:Employee Benefits 1986-2005/Apr
         (c) 2005 Int.Fdn.of Empl.Ben.Plans
File  40:Enviroline(R) 1975-2005/Apr
File 473:FINANCIAL TIMES ABSTRACTS 1998-2001/APR 02
         (c) 2001 THE NEW YORK TIMES
File 475:Wall Street Journal Abs 1973-2005/May 04
         (c) 2005 The New York Times
File 249:Mgt. & Mktg. Abs. 1976-2005May W1
         (c) 2005 Pira International
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File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group

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Set     Items   Description
S1     367679   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
            P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2     316429   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
            HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3     980415   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
            OR VALUE? OR APPRAIS?
S4     589003   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
            TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
            ALES()(MAN OR MEN OR FORCE)
S5        363   S4 (10N) S1 (10N) S2 (10N) S3
S6          1   S5  AND  IC=G06F-007?
S7        325   S5 AND IC=G06F
S8       3469   S4(3N)S1
S9        184   S8 AND S7
S10       185   S9 OR S6
S11       519   S3(2N)S4 AND S8
S12       323   S11 AND IC=(G06F OR H04L)
S13       429   S9 OR S12
S14     12562   S1(3N)(FANTASY OR IMITATION? OR MOCK? OR SIMILAR? OR LIKE?)
S15         0   S15 (S) S5
S16       132   S3(2N)S4 AND S5
S17     12938   COWORKER? OR COLLEAGUE? OR (TEAM? ? OR GROUP??)()MEMBER? OR
             (OTHER OR RELATED)(N)S1
S18        78   S12 AND S9
S19        78   S16 AND S18
S20        21   S18 AND S17
S21        23   S19 NOT AD=20000830:20030830
S22        18   S20 NOT AD=20030830:20050830
S23        21   S22 OR S20
S24        21   IDPAT (sorted in duplicate/non-duplicate order)
S25        21   IDPAT (primary/non-duplicate records only)
File 348:EUROPEAN PATENTS 1978-2005/Apr W04
         (c) 2005 European Patent Office
File 349:PCT FULLTEXT 1979-2005/UB=20050428,UT=20050421
         (c) 2005 WIPO/Univentio
```

25/3,K/6 (Item 6 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00883979
SYSTEM AND METHOD FOR EVALUATION OF IDEAS AND EXCHANGE OF VALUE
SYSTEME ET PROCEDE POUR L'EVALUATION D'IDEES ET L'ECHANGE DE VALEURS
Patent Applicant/Assignee:
FOREQUITY INC, 10 Soden Street #12, Cambridge, MA 02139, US, US (Residence), US (Nationality)
Inventor(s):
GAKIDIS Haralabos E, 129 Oxford Road #2, Newton, MA 02459-2408, US,
MARINA Ovidiu, 126 St. Mary Street #4, Boston, MA 02215, US,
Legal Representative:
DIENER Michael A (et al) (agent), Hale and Dorr LLP, 60 State Street, Boston, MA 02109, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200217108 A1 20020228 (WO 0217108)
Application: WO 2001US25962 20010820 (PCT/WO US0125962)
Priority Application: US 2000226629 20000821
Designated States:
(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ
TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 11548

Main International Patent Class: **G06F-015/173**
Fulltext Availability:
Detailed Description
Claims

Detailed Description
... group of individuals who may provide feedback on ideas, with potentially a different subset of **individuals** **reviewing** every idea. Based on the observed interactions of the reviewers with the idea itself and...

...imply or exclude any features not explicitly stated.
Tied to the ultimate success of the **individual** idea, idea **shares** provide an incentive mechanism for sharing information and promoting ideas. Such a mechanism is lacking...be used for evaluating ideas, including other intangible creative efforts, based on the interactions of **individuals** who **evaluate** and promote those ideas and the resulting outcomes from the promotion of those ideas. The...used and thus
I
encourage individuals to promote only the best ideas. Furthermore, if an **individual** needs to conunit resources of time and effort, that may be the measure of the submitter's or expert's commitment and avoid the need for exchange of **shares** as a reward or allocation means.

Variable numbers of idea **shares** can be **traded** , thus reflecting a person's belief in the strength of the idea; thus an **individual** may promote multiple ideas, but can effectively weight his or her assessment by how many idea- **shares** are **traded** in a transaction. For a high potential idea, a submitter may not have to give up as many idea **shares** , and an **evaluator** may take fewer **shares** .

```
Set      Items   Description
S1      179042   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
             P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2      133434   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
             HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3     1813977   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
             OR VALUE? OR APPRAIS?
S4      416876   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
             TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
             ALES()(MAN OR MEN OR FORCE)
S5         162   S4 AND S1 AND S2 AND S3
S6           5   S5 AND IC=G06F-007?
S7         143   S5 AND IC=G06F
S8         844   S4(3N)S1
S9          34   S8 AND S7
S10         38   S9 OR S6
S11         38   S3(2N)S4 AND S8
S12         31   S11 AND IC=(G06F OR H04L)
S13         31   IDPAT (sorted in duplicate/non-duplicate order)
S14         29   IDPAT (primary/non-duplicate records only)
S15       1768   S1(3N)(FANTASY OR IMITATION? OR MOCK? OR SIMILAR? OR LIKE?)
S16          3   S15 AND S5
S17         20   S3(2N)S4 AND S5
S18         23   S17 OR S16
S19         10   S18 NOT S11
S20         10   IDPAT (sorted in duplicate/non-duplicate order)
S21         10   IDPAT (primary/non-duplicate records only)
S22      34460   MC=(T01-J05A2 OR T01-J05B4P)
S23         20   S5 AND S22
S24         16   S23 NOT (S20 OR S11)
S25         16   IDPAT (sorted in duplicate/non-duplicate order)
S26         16   IDPAT (primary/non-duplicate records only)
File 347:JAPIO Nov 1976-2004/Dec(Updated 050405)
         (c) 2005 JPO & JAPIO
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200528
         (c) 2005  Thomson Derwent
```

26/5/12 **(Item 12 from file: 350)**
DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

013067383 **Image available**
WPI Acc No: 2000-239255/200021
Related WPI Acc No: 2000-239254; 2000-452641
XRPX Acc No: N00-179641

Prediction method for future variable eg. profitability of customer to business eg. bank, associated with input sequence representing financial transactions and personal data of customer of business

Patent Assignee: NCR INT INC (NATC)
Inventor: NAKISA R C
Number of Countries: 025 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
EP 987645	A2	20000322	EP 99307142	A	19990909	200021	B

Priority Applications (No Type Date): GB 9819934 A 19980914
Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
EP 987645	A2	E	12	G06F-017/60	

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI

Abstract (Basic): EP 987645 A2

NOVELTY - The prediction method involves predicting the **value** at a **future** time, of a variable associated with a data input sequence. The sequence may represent a sequence of data representing the financial transactions and personal data of a customer of eg. bank or retail establishment. The variable may be the profitability of the customer to the business.

DETAILED DESCRIPTION - A database is used to register a number f reference data sequences eg. sequences of an established set of bank customers. **Values** of the input sequence are matched to **values** of the reference data sequences according to a dynamic time warping algorithm to determine the distance between the input sequence and the reference sequences. A regression function is applied to derive a weighting for each reference sequence according to its distance from the input sequence. A partial prediction of the **future value** associated with the input sequence is made from each reference sequence. The **future value** is then predicted as the sum of the partial predictions weighted by the weightings. INDEPENDENT CLAIMS are also included for; an apparatus for making a prediction from an input sequence of a **future value** of a variable associated with the input data sequence.

USE - In comparing different **individuals** so as to **rank** them by **trading** off risk against potential profit. Calculating the probability that an **individual** will make a loss as almost zero.

ADVANTAGE - Enables making a prediction from an input data sequence of **future value** , of a variable associated with an input data sequence, in the form of a probability distribution over the **values** of that variable.

DESCRIPTION OF DRAWING(S) - The drawing shows a database relating to a set of customers.

pp; 12 DwgNo 1/6

Title Terms: PREDICT; METHOD; **FUTURE** ; VARIABLE; PROFIT; CUSTOMER; BUSINESS; BANK; ASSOCIATE; INPUT; SEQUENCE; REPRESENT; FINANCIAL; TRANSACTION; PERSON; DATA; CUSTOMER; BUSINESS
Derwent Class: T01
International Patent Class (Main): G06F-017/60
File Segment: EPI

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Set     Items   Description
S1          1   AU=(HERRELL W? OR HERRELL, W?)
S2          1   S1 AND IC=G06F-007?
File 344:Chinese Patents Abs Aug 1985-2004/May
         (c) 2004 European Patent Office
File 347:JAPIO Nov 1976-2004/Dec(Updated 050405)
         (c) 2005 JPO & JAPIO
File 348:EUROPEAN PATENTS 1978-2005/Apr W04
         (c) 2005 European Patent Office
File 349:PCT FULLTEXT 1979-2005/UB=20050428,UT=20050421
         (c) 2005 WIPO/Univentio
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200528
         (c) 2005  Thomson Derwent
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2/9/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

014550370 **Image available**
WPI Acc No: 2002-371073/200240
XRPX Acc No: N02-289931

Employee performance evaluation system for enterprise, has market trading system that establishes market for trading shares having unit values issued to employees

Patent Assignee: HERRELL W R (HERR-I)
Inventor: **HERRELL W R**

Number of Countries: 002 Number of Patents: 002
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
US 20020024531	A1	20020228	US 2000228771	P	20000830	200240	B
			US 2001933666	A	20010822		
CA 2356216	A1	20020228	CA 2356216	A	20010829	200240	

Priority Applications (No Type Date): US 2000228771 P 20000830; US 2001933666 A 20010822
Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20020024531	A1		6	G06F-007/00	Provisional application US 2000228771
CA 2356216	A1	E		G06F-017/60	

Abstract (Basic): US 20020024531 A1

NOVELTY - An electronic personal profile for each employee, is generated and stored in a database (30). A specific number of shares having a unit value are issued for each employee. The employees are permitted to trade their shares by buying/selling by a market trading system (50), and job performance traits of employees whose shares are traded, are identified.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for employee performance evaluation method.

USE - For evaluating the experience and skill sets of employees of an enterprise using Internet/intranet, LAN or WAN.

ADVANTAGE - Enables reliably determining employee performance in real-time. Enhances organization's ability to coordinate its collective knowledge effectively and efficiently. A management can identify key areas to evaluate for each job category or for the firm as whole.

DESCRIPTION OF DRAWING(S) - The figure shows the employee performance evaluation system.

Database (30)

Market trading system (50)

pp; 6 DwgNo 1/1

Title Terms: EMPLOY; PERFORMANCE; EVALUATE; SYSTEM; MARKET; TRADE; SYSTEM; ESTABLISH; MARKET; TRADE; SHARE; UNIT; VALUE; ISSUE; EMPLOY
Derwent Class: T01
International Patent Class (Main): **G06F-007/00** ; G06F-017/60
File Segment: EPI
Manual Codes (EPI/S-X): T01-J05A2; T01-J05B4P

```
Set     Items   Description
S1     179042   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
             P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2     133434   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
             HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3    1813977   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
             OR VALUE? OR APPRAIS?
S4     416876   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
             TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
             ALES()(MAN OR MEN OR FORCE)
S5        162   S4 AND S1 AND S2 AND S3
S6          5   S5 AND IC=G06F-007?
S7        143   S5 AND IC=G06F
S8        844   S4(3N)S1
S9         34   S8 AND S7
S10        38   S9 OR S6
S11        38   S3(2N)S4 AND S8
S12        31   S11 AND IC=(G06F OR H04L)
S13        31   IDPAT (sorted in duplicate/non-duplicate order)
S14        29   IDPAT (primary/non-duplicate records only)
File 347:JAPIO Nov 1976-2004/Dec(Updated 050405)
         (c) 2005 JPO & JAPIO
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200528
         (c) 2005  Thomson Derwent
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14/5/10 (Item 10 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

015911598 **Image available**
WPI Acc No: 2004-069438/200407
XRPX Acc No: N04-055802

Human resource management system in organization, includes appraisal module that enables user to selectively incorporate performance objectives and notes correlated to objectives, into performance appraisals **for** employees

Patent Assignee: FLORENCE SYSTEMS INC (FLOR-N)
Inventor: BRENNAN P M; FLORENCE L M
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030216957	A1	20031120	US 2002367756	P	20020328	200407 B
			US 2003397694	A	20030327	

Priority Applications (No Type Date): US 2002367756 P 20020328; US 2003397694 A 20030327
Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20030216957	A1		83	G06F-017/60	Provisional application US 2002367756

Abstract (Basic): US 20030216957 A1

NOVELTY - An objective module enables periodic creation and storage of performance objectives for **employees** . An **appraisal** module enables a user to selectively incorporate the performance objectives and notes correlated to the objectives, into performance **appraisal** for **employees** .

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for human resource management method.

USE - For human resource management in organization.

ADVANTAGE - Allows a manager to **share** portions of **employee** data to facilitate enhanced communication and productivity. Specialized reporting, allows to determine effectiveness of managers. the calender status addition to a directory, allows employee's data to be visible to supervisors at all levels.

DESCRIPTION OF DRAWING(S) - The figure shows the human resource management system.

application server (5)
data storage device (7)
hard disk (8)
internet (20)
user terminals (30,40,50)
links (31,41,51)
pp; 83 DwgNo 1/8

Title Terms: HUMAN; RESOURCE; MANAGEMENT; SYSTEM; ORGANISE; APPRAISE; MODULE; ENABLE; USER; SELECT; INCORPORATE; PERFORMANCE; OBJECTIVE; NOTE; CORRELATE; OBJECTIVE; PERFORMANCE; EMPLOY
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

14/5/13 (Item 13 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015430431 **Image available**
WPI Acc No: 2003-492573/200346
XRPX Acc No: N03-391267

Corporate value evaluation system for company, evaluates economic effects depending on premium, recognition and loyalty indicators of customer, employee and stockholder

Patent Assignee: ITO K (ITOK-I); NIPPON KEIZAI SHINBUNSHA KK (NIKE-N)
Inventor: ITO K; TSUKAMOTO S
Number of Countries: 002 Number of Patents: 002
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
US 20030069822	A1	20030410	US 2002266475	A	20021008	200346	B
JP 2003187052	A	20030704	JP 200231002	A	20020207	200353	

Priority Applications (No Type Date): JP 200231002 A 20020207; JP 2001311712 A 20011009
Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20030069822	A1		29	G06F-017/60	
JP 2003187052	A		17	G06F-017/60	

Abstract (Basic): US 20030069822 A1

NOVELTY - A server (3) evaluates the economic effects produced by the brand image in the minds of **stockholders** , customers and **employees** using premium, recognition and loyalty indication, and calculates a brand score as a comprehensive indicator.

DETAILED DESCRIPTION - The premiums of customers, **employees** and **stockholders** are calculated using return on sales, employee's productivity and price-book value ratio obtained from financial database. The recognition of customers, **employees** and **stockholders** is calculated using favorable impression, employment intention, purchase stock obtained from image survey database. The loyalty of customers, **employees** and **stockholders** is indicated by the principal component analysis on evaluated scores from image survey database and on financial values.

INDEPENDENT CLAIMS are also included for the following:
(1) corporate value evaluation model; and
(2) corporate value calculation method.

USE - For evaluation of corporate **value** of **individual** companies.

ADVANTAGE - Each company's state of progress with respect to corporate brand value can be accurately grasped.

DESCRIPTION OF DRAWING(S) - The figure shows a flow diagram of a system architecture.

servers (1-3)

pp; 29 DwgNo 1/19

Title Terms: VALUE; EVALUATE; SYSTEM; COMPANY; EVALUATE; ECONOMY; EFFECT; DEPEND; PREMIUM; RECOGNISE; INDICATE; CUSTOMER; EMPLOY
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

14/5/18 (Item 18 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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.014550370 **Image available**
WPI Acc No: 2002-371073/200240
XRPX Acc No: N02-289931
Employee **performance** evaluation **system for enterprise, has market trading system that establishes market for trading shares having unit values issued to** employees
Patent Assignee: HERRELL W R (HERR-I)
Inventor: HERRELL W R
Number of Countries: 002 Number of Patents: 002
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020024531	A1	20020228	US 2000228771	P	20000830	200240 B
			US 2001933666	A	20010822	
CA 2356216	A1	20020228	CA 2356216	A	20010829	200240

Priority Applications (No Type Date): US 2000228771 P 20000830; US 2001933666 A 20010822
Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20020024531	A1		6	G06F-007/00	Provisional application US 2000228771
CA 2356216	A1	E		G06F-017/60	

Abstract (Basic): US 20020024531 A1
NOVELTY - An electronic personal profile for each employee, is generated and stored in a database (30). A specific number of shares having a unit value are issued for each employee. The employees are permitted to trade their shares by buying/selling by a market trading system (50), and job performance traits of **employees** whose **shares** are traded, are identified.
DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for **employee** performance **evaluation** method.
USE - For evaluating the experience and skill sets of employees of an enterprise using Internet/intranet, LAN or WAN.
ADVANTAGE - Enables reliably determining employee performance in real-time. Enhances organization's ability to coordinate its collective knowledge effectively and efficiently. A management can identify key areas to evaluate for each job category or for the firm as whole.
DESCRIPTION OF DRAWING(S) - The figure shows the **employee** performance **evaluation** system.
Database (30)
Market trading system (50)
pp; 6 DwgNo 1/1
Title Terms: EMPLOY; PERFORMANCE; EVALUATE; SYSTEM; MARKET; TRADE; SYSTEM; ESTABLISH; MARKET; TRADE; SHARE; UNIT; VALUE; ISSUE; EMPLOY
Derwent Class: T01
International Patent Class (Main): **G06F-007/00** ; **G06F-017/60**
File Segment: EPI

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- Try more general keywords.

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Set     Items   Description
S1   12466611   STOCK? OR SHARE? OR FUTURE? OR COMODIT? OR UNIT(N)OWNERSHI-
             P? ? OR (TRADE? OR TRADING)()(BOARD? OR SYSTEM? OR MARKET?)
S2   14641069   TRADE? OR TRADING? OR BUY OR SELL OR BUYS OR BUYING OR EXC-
             HANGING OR PURCHAS? OR SELLING OR SELLS OR SOLD
S3   16238418   EVALUAT? OR SCORE? OR REVIEW? OR RANK? OR POINTS OR PRICE?
             OR VALUE? OR APPRAIS?
S4   13511489   EMPLOYEE? OR PERSONNEL? OR INDIVIDUAL? OR WORKER? OR EXECU-
             TIV? OR STAFF? OR SALESPERSON? OR SALESMAN? OR SALESMEN? OR S-
             ALES()(MAN OR MEN OR FORCE)
S5     714232   COLLEAGUE? OR COWORKER? OR OTHER(W)S4 OR (TEAM OR GROUP)()-
             MEMBER?
S6        500   S1(2N)S2(10N)S3(2N)S4(5N)S5
S7        348   S6 AND (HR OR HUMAN()RESOURCE? OR MANAGEMENT? OR CORPORATE
             OR MANAGER? OR SUPERVISOR?)
S8        183   S6(3N)(AUCTION? OR MARKET? OR BOARD? OR EXCHANGE?)
S9        107   7 AND S8
S10        72   RD (unique items)
S11        47   S10 NOT PY>2000
S12        44   S11 NOT PD=20000830:20030830
S13        44   S12 NOT PD=20030830:20050510
File  88:Gale Group Business A.R.T.S. 1976-2005/May 05
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File  15:ABI/Inform(R) 1971-2005/May 05
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File  16:Gale Group PROMT(R) 1990-2005/May 05
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         (c) 2005 CMP Media, LLC
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         (c) 2005 The Gale Group
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           Items   File
           -----   ----
>>>Possible typing error near end of command
? Sending Break...
?s employee()(appraisal? or evaluation?)(5n)stock()market()model

Your SELECT statement is:
   s employee()(appraisal? or evaluation?)(5n)stock()market()model

           Items   File
           -----   ----
Processing
       Examined  50 files
>>>I/O error in file 101
       Examined 100 files
       Examined 150 files
       Examined 200 files
       Examined 250 files
       Examined 300 files
       Examined 350 files
>>>I/O error in file 589
       Examined 400 files
       Examined 450 files
       Examined 500 files
       Examined 550 files

   No files have one or more items; file list includes 559 files.
   One or more terms were invalid in 2 files.
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